

# STOWUPLAND PRE-SCHOOL AND THE MIGHTY OAKS

## Complaints Procedure Policy

### Statement of intent

Our setting believes that children and parents are entitled to expect courtesy and prompt, careful attention to their needs and wishes. We welcome suggestions on how to improve our setting and will give prompt and serious attention to any concerns about the running of the setting. We anticipate that most concerns will be resolved quickly by an informal approach to the appropriate member of staff. If this does not achieve the desired result, we have a set of procedures for dealing with concerns.

### Aim

We aim to bring all concerns about the running of our setting to a satisfactory conclusion for all of the parties involved.

### Methods

To achieve this, we operate the following complaints procedure.

#### *How to complain*

##### Stage 1

- Any parent who is uneasy about an aspect of the setting's provision talks over, first of all, his/her worries and anxieties with the setting leader.

##### Stage 2

- If this does not have a satisfactory outcome, or if the problem reoccurs, the parent moves to Stage 2 of the procedure by putting the concerns or complaint in writing to the setting leader and the chair of the management committee.
- Most complaints should be able to be resolved informally at Stage1 or at Stage2.

##### Stage 3

- The parent requests a meeting with the setting leader and the chair of the management committee. Both the parent and the leader should have a friend or partner present if required. An agreed written record of the discussion is made. All of the parties present at the meeting sign the record and receive a copy of it.
- This signed record signifies that the procedure has concluded.

##### Stage 4

- If at the Stage 3 meeting the parent and setting cannot reach agreement, an external mediator is invited to help to settle the complaint. This person should be acceptable to both parties, listen to both sides and offer advice. A mediator has no legal powers but can help to define the problem, review the action so far and suggest further ways in which it might be resolved.
- Staff or volunteers within the Pre-school Learning Alliance are appropriate persons to be invited to act as mediators.
- The mediator keeps all discussion confidential. S/he can hold separate meetings with the setting personnel (setting leader and chair of the management committee) and the parent, if this is decided to be helpful. The mediator keeps an agreed written record of any meetings that are held and of any advice s/he gives.

Stage 5

- When the mediator has concluded her/his investigations, a final meeting between the parent, the setting leader and the owner/chair of the management committee is held. The purpose of this meeting is to reach a decision on the action to be taken to deal with the complaint. The mediator's advice is used to reach this conclusion. The mediator is present at the meeting if all parties think this will help a decision to be reached.
- A record of this meeting, including the decision on the action to be taken, is made. Everyone present at the meeting signs the record and receives a copy of it. This signed record signifies that the procedure has concluded.

**The role of the Office for Standards in Education, Early Years Directorate (Ofsted) and the Area Child Protection Committee.**

Parents may approach Ofsted directly at any stage of this complaints procedure. In addition, where there seems to be a possible breach of our registration requirements, it is essential to involve Ofsted as the registering and inspection body with a duty to ensure the National Standards for Day Care are adhered to.

The address, website and telephone number of our Ofsted regional centre is:

Ofsted  
 National Business Unit  
 Royal Exchange Buildings  
 St Ann's Square  
 Manchester  
 M2 7LA  
 Tel: 0845 640 4040  
 www.ofsted.gov.uk/childcare

Ofsted  
 Midlands Regional Centre,  
 Building C,  
 Cumberland Place,  
 Nottingham,  
 NG1 6HJ.

These details are displayed on our setting's notice board. If a child appears to be at risk, our setting follows the procedures of the Area Child Protection Committee in our local authority.

In these cases, both the parent and setting are informed and the setting leader works with Ofsted or the Area Child Protection Committee to ensure a proper investigation of the complaint followed by appropriate action.

**Records**

A record of complaints against our setting and/or the children and/or the adults working in our setting is kept, including the date, the circumstances of the complaint and how the complaint was managed.

This policy was adopted at an AGM of Stowupland Pre-School and The Mighty Oaks

Held on (date) ..... Review Date .....

Signed on behalf of the Pre-School (Chairperson).....